529 Rec'd PCT/PTO 15 MAY 2000

Form PTO-1390 US DEPARTMENT (Rev. 5-93) TRANSMITTAL LETTER TO DESIGNATED/ELECTED OFF CONCERNING A FILING UNIT	FICE (DO/EO/US)	ATTORNEYS DOCKET NO. H 3185 PCT/US U.S. APPLICATION NO. (if known sec. 17 CFR 1.5) 0 9 / 5 5 4 3 8 7						
INTERNATIONAL APPLICATION NO. PCT/EP98/07059 INTERNATIONAL FILING DATE November 5, 1998		PRIORITY DATE CLAIMED November 14, 1997						
TITLE OF INVENTION USE OF MIXTURES OF AN HYPOCHOLESTERAEMIC		PHYTOSTENOL FOR PRODUCING						
APPLICANT(S) FOR DO/EO/US Bernd Fabry								
Applicant herewith submits to the United S	states Designated/Elected Office (EO/DO/US) t	he following items and other information:						
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. This a SECOND or SUBSEQU								
• -								
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.								
5. ■ A copy of the International Application as filed (35 U.S. C. 371(c)(2)). a. □ is transmitted herewith (required only if not transmitted by the International Bureau). b. ■ has been transmitted by the International Bureau. c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. ■ A translation of the International Application into English (35 U.S.C. 371(c)(2)).								
a. are transmitted herewith b. have been transmitted t	nternational Application under PCT Article 19 (n (required only if not transmitted by the Internat by the International Bureau. wever, the time limit for making such amendme d will not be made.	ional Bureau).						
	☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
. ■ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)								
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11. to 16. below concern other d 11. An Information Disclosure Statem								
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. ■ A FIRST preliminary amendment □ A SECOND or SUBSEQUENT preliminary amendment.								
14. □ A substitute specification.								
15. □ A change of power of attorney and/or address letter.								
16. □ Other items or information.:								
!								

"Express Mail Post Office to Addressee" service Mailing Label Number <u>EL541612068US</u>.

422 Rec'd PCT/P 1 5 MAY 2000 U.S. Application No. (If known see CFR1.30) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP98/07059 H 3185 PCT/US CALCULATIONS PTO USE ONLY 17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO...... \$840.00 International preliminary examination fee paid to USPTO (37CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37CFR 1.445(a)(2))..... \$690.00 Neither international preliminary examination fee (37CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO...... \$970.00 International preliminary examination fee paid to USPTO (37CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00 840 \$ 00 ENTER APPROPRIATE BASIC FEE AMOUNT Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 \$ 0 months from the earliest claimed priority date 37 (CFR 1.492(e)). **Claims Number filed** Number Extra Rate :fl **Total Claims** 0 X \$18.00 \$ 0 20 - 20 = O 00 ٦, Independent Claims 0 0 X \$78.00 \$ 0 2-3= 00 Mattiple dependent claims (s)(if applicable) 0 + \$260.00 \$ 0 00 إز \$ 840 00 **TOTAL OF ABOVE CALCULATIONS** \$ Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also 0 00 be filed. (Note 37 CFR 1.9, 1.27, 1.28). \$ 840 **SUBTOTAL** = \$ Processing fee of \$130.00 for furnishing the English translation later the □ 20 □ 30 O OO menths from the earliest claimed priority date (37CFR 1.492(f)).] \$ 840 00 **TOTAL NATIONAL FEE** = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ 0 00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 840 ററ **TOTAL FEES ENCLOSED** = Amount to be: refunded charged \$840.00 A check in the amount of \$ to cover the above fees is enclosed. a. 🗆 Please charge my Deposit Account No. 50-1177 in the amount of \$840.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. 00-0244. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1177 _. A triplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.437 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept.

2500 Renaissance Blvd, Suite 200

Gulph Mills, PA 19406

SIGNATURE

Aaron R. Ettelman

NAME ATTORNEY FOR APPLICANT

<u>42,516</u>

REGISTRATION NUMBER

Form PTO 1390 (REV 5-93)



POST OFFICE TO ADDRESSEE



ORIGIN (POSTAL USE O	ONLY)		DELIVERY (POST	AL USE ONLY)	3	2 6
PO ZIP Code	Day of Delivery	Flat Rate Envelope	Delivery Attempt	Time	Employee Signature	<u> </u>
	Next Second		Mo. Day	□ AM □ PM		Ž Š
Date In		Postage	Delivery Attempt	Time	Employee Signature	D:
and the same of th	12 Noon 3 PM	\$	Mo. Day	□ AM □ PM	RECEIVED Signature	abel 1
Time in	Military	Return Receipt Fee	Delivery Date	Time	Employee Signature	ב ע
□ AM V →□ PM	2nd Day 3rd Day		Mo. Day	AM DPM	MAY 1 6 2000	5
Weight PM	Int'l Alpha Country Code	COD Fee Insurance Fee	Signature of Addresse	e or Agent	1,	C
lbs. ozs.			x		- TRAIL CENTER	
No Delivery	Acceptance Clerk Initials	Total Postage & Fees	Name - Please Print		PIU WAIL OL	
Weekend Holiday	1	s ' /	x			
TO FILE A CLAIM FO CONTENTS, YOU MI CONTAINER, AND P FOR INSPECTION.	JST PRESENT THE A	RTICLE, wish delive	can be left in secure locati	on) and I authorize that d	assee or addressee's agent (if delivery employee judges lelivery employee's signature constitutes valid proof of Customer Signature State 1715 BTO MAIL CEN	刺
FROM: (PLEASE PRINT)	PHONE ()		TO: (PLEASE PRINT)	PHON		•
TROSE STONE	CPURATION	٦	.ATTN:	DOMEN J	MAY 1 5 2000	
2500 REVAL GULPH MILL	ISSANCE OLY) STE 200 1 194 05-2673	COMMIS TRADEM	SIUNE: J Arks	EXPRESS MAIL LABEL LAT	EIN
OULT " LL	w. √# 8" ⁻		DOX PL		EXPRESS MAIL S	
CASE H 3185	PCT/US	The second section of the second seco	WASHIN	- ACC - No. 1	00 20231-0001	L
(,			4 15 5 1	5 45 mg		7
<u> </u>	44 8 3		La marita	3 m 13 th 10 m	titu okariji i sasjite 🚽	

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.go